



UTILITY PATENT APPLICATION TRANSMITTAL		Attorney Docket No.: D3131
		First Inventor: Chandra Sekhar Jasti et al.
		Title: METHOD AND APPARATUS FOR AUTOMATICALLY ADJUSTING THE OPTICAL POWER DIRECTED TO A ...
		Express Mail Label No.:

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS (see MPEP chapter 600 concerning utility patent application contents)		ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form in duplicate (Submit an original and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27</p> <p>3. <input checked="" type="checkbox"/> Specification Total Pages 13 (preferred arrangement set forth below) -Descriptive title of the invention -Cross Reference to Related Applications -Statement Regarding Fed sponsored R&D -Reference to sequence listing, a table, -Background of the Invention -Brief Summary of the Invention -Brief Description of the Drawings (if filed) -Detailed Description -Claim(s) -Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) Total Sheets 3</p> <p>5. Oath or Declaration a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/ divisional with Box 18 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet under 37 CFR 1.76</p> <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CFR) b. <input type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-4 (2 copies); ii. <input type="checkbox"/> or paper c. <input type="checkbox"/> Statements verifying identify of above copies</p>		
ACCOMPANYING APPLICATION PARTS		
<p>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) <input checked="" type="checkbox"/> Power of Attorney Statement (when there is an assignee)</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> IDS <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document</p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input type="checkbox"/> Other: _____ _____ _____</p>		

18. IF A CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment:

 Continuation Divisional Continuation-in- Part (CIP) Prior Appl. No. _____

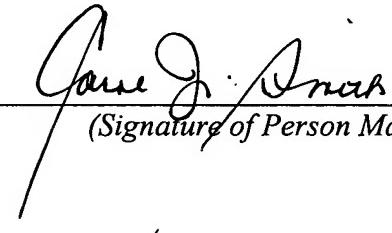
Prior Appl. information: Examiner: _____ Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label		27774	or	<input type="checkbox"/> Correspondence address below	
Name	Stuart H. Mayer				
Address	Mayer Fortkort & Williams, PC				
	251 North Avenue West, 2 nd Floor				
City	Westfield	State	NJ	Zip Code	07090
Country	U.S.A.	Telephone	908-518-7700	Fax	908-518-7795
Name	Carolyn Coker			Registration No.	SO, S76
SIGNATURE	<i>Carolyn Coker</i>			Date	11/20/03

<p style="text-align: center;">FEE TRANSMITTAL</p> <p>Patent fees are subject to annual revision</p>		<i>Complete if Known</i>																																																																																																																																																																																																																																																																																																																					
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ADDITIONAL FEES <table border="1"> <thead> <tr> <th rowspan="2">Fee Code</th> <th rowspan="2">Large Entity Fee (\$)</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> </tr> <tr> <th>Fee</th> <th>Fee</th> </tr> </thead> <tbody> <tr><td>1051</td><td>130</td><td>2051</td><td>65</td><td>Surcharge - late filing fee or oath</td></tr> <tr><td>1052</td><td>50</td><td>2052</td><td>25</td><td>Surcharge - late Provisional filing</td></tr> <tr><td>1053</td><td>130</td><td>1053</td><td>130</td><td>Non-English specification</td></tr> <tr><td>147</td><td>2520</td><td>147</td><td>2520</td><td>For filing a request for ex parte Reexamination</td></tr> <tr><td>1804</td><td>920*</td><td>1804</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td></tr> <tr><td>1805</td><td>1840*</td><td>1805</td><td>1840*</td><td>Requesting publication of SIR after Examiner action</td></tr> <tr><td>1251</td><td>110</td><td>2251</td><td>55</td><td>Extension for reply within first month</td></tr> <tr><td>1252</td><td>420</td><td>2252</td><td>210</td><td>Extension for reply within second month</td></tr> <tr><td>1253</td><td>950</td><td>2253</td><td>475</td><td>Extension for reply within third month</td></tr> <tr><td>1254</td><td>1480</td><td>2254</td><td>740</td><td>Extension for reply within fourth month</td></tr> <tr><td>1255</td><td>2010</td><td>2255</td><td>1005</td><td>Extension for reply within fifth month</td></tr> <tr><td>1401</td><td>330</td><td>2401</td><td>165</td><td>Notice of Appeal</td></tr> <tr><td>1402</td><td>330</td><td>2402</td><td>165</td><td>Filing a brief in support of an appeal</td></tr> <tr><td>1403</td><td>290</td><td>2403</td><td>145</td><td>Request for oral hearing</td></tr> <tr><td>1451</td><td>1510</td><td>1451</td><td>1510</td><td>Petition to institute a public use proceeding</td></tr> <tr><td>1452</td><td>1330</td><td>2452</td><td>665</td><td>Petition to revive - unavoidable</td></tr> <tr><td>1453</td><td>1330</td><td>2453</td><td>665</td><td>Petition to revive - unintentional</td></tr> <tr><td>1501</td><td>1330</td><td>2501</td><td>665</td><td>Utility issue fee (or reissue)</td></tr> <tr><td>1502</td><td>480</td><td>2502</td><td>240</td><td>Design issue fee</td></tr> <tr><td>1503</td><td>640</td><td>2503</td><td>320</td><td>Plant issue fee</td></tr> <tr><td>1460</td><td>130</td><td>1460</td><td>130</td><td>Petitions to the Commissioner</td></tr> <tr><td>1807</td><td>50</td><td>1807</td><td>50</td><td>Processing fee under 37 CFR 1.17(q)</td></tr> <tr><td>1806</td><td>180</td><td>1806</td><td>180</td><td>Submission of IDS</td></tr> <tr><td>8021</td><td>40</td><td>8021</td><td>40</td><td>Recording each patent assignment per property (times number of properties) 40</td></tr> <tr><td>1809</td><td>770</td><td>2809</td><td>385</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td></tr> <tr><td>1810</td><td>770</td><td>2810</td><td>385</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td></tr> <tr><td>1801</td><td>770</td><td>2801</td><td>385</td><td>Request for Continued Examination (RCE)</td></tr> <tr><td>1802</td><td>900</td><td>1802</td><td>900</td><td>Request for expedited examination of a design application</td></tr> <tr><td colspan="4">Other fee (specify) _____</td></tr> <tr> <td colspan="2"> 2. EXTRA CLAIM FEES </td> <td colspan="2"> Previously Paid** Extra Claims Fee from below Fee Paid </td> </tr> <tr> <td>Total Claims</td> <td>20</td> <td>-</td> <td>20</td> <td>=</td> <td>0</td> <td>x</td> <td>18</td> <td>=</td> <td>0</td> </tr> <tr> <td>Independent Claims</td> <td>2</td> <td>-</td> <td>3</td> <td>=</td> <td>0</td> <td>x</td> <td>86</td> <td>=</td> <td>0</td> </tr> <tr> <td>Multiple Dependent</td> <td colspan="8"></td> <td>280</td> <td>=</td> <td></td> </tr> <tr> <td>Large Entity Fee Code (\$)</td> <td>Small Entity Fee Code (\$)</td> <td colspan="8">Fee Description</td> </tr> <tr> <td>1202 18</td> <td>2202 9</td> <td colspan="8">Claims in excess of 20</td> </tr> <tr> <td>1201 86</td> <td>2201 43</td> <td colspan="8">Independent claims in excess of 3</td> </tr> <tr> <td>1203 280</td> <td>2203 145</td> <td colspan="8">Multiple dependent claim, if not paid</td> </tr> <tr> <td>1204 86</td> <td>2204 43</td> <td colspan="8">* Reissue independent claims over original patent</td> </tr> <tr> <td>1205 18</td> <td>2205 9</td> <td colspan="8">*Reissue claims in excess of 20 and over original patent</td> </tr> <tr> <td colspan="2"></td> <td colspan="2">SUBTOTAL (2) (\$ 0)</td> <td>40</td> <td colspan="2"></td> <td colspan="2"></td> <td colspan="2"></td> </tr> <tr> <td colspan="10">**OR NUMBER PREVIOUSLY PAID, IF GREATER THAN STANDARD ALLOWANCE.</td> </tr> <tr> <td colspan="10">*For Reissues, see above</td> </tr> <tr> <td colspan="10" style="text-align: right;">Complete (if applicable)</td> </tr> <tr> <td>Name (Print/Type)</td> <td colspan="3">Caroline T. Coker</td> <td>Registration No.</td> <td>SO,516</td> <td>Telephone</td> <td colspan="3">215-323-1797</td> </tr> <tr> <td>Signature</td> <td colspan="3"><i>Caroline T. 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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)			Docket No. D3131
Applicant(s): Chandra Sekhar Jasti et al.			
Serial No. Unassigned	Filing Date Filed Herewith	Examiner Unassigned	Group Art Unit Unassigned
Invention: METHOD AND APPARATUS FOR AUTOMATICALLY ADJUSTING THE OPTICAL POWER DIRECTED TO A DISPERSION COMPENSATING ELEMENT			
<p>I hereby certify that the following correspondence:</p> <p style="text-align: center;"><u>New U.S. Patent Application</u> <i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on</p> <p style="text-align: center;"><u>November 20, 2003</u> <i>(Date)</i></p> <p style="text-align: center;"><u>Carol J. Smith</u> <i>(Typed or Printed Name of Person Mailing Correspondence)</i></p> <p style="text-align: center;"><u></u> <i>(Signature of Person Mailing Correspondence)</i></p> <p style="text-align: center;"><u>EV 317194670 US</u> <i>("Express Mail" Mailing Label Number)</i></p>			
<p>Note: Each paper must have its own certificate of mailing.</p>			